

**Part 2B of Form ADV: Brochure Supplement**

**Item 1 Cover Page:**

**James P. Wells**

Wells Global Investments Advisers LLC

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Winter Haven, FL 33880

Phone: 863-294-3361

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This brochure provides information about James P. Wells that supplements the Wells Global Investment Advisers LLC Brochure. You should have received a copy of that brochure. If you have any questions about the contents of the brochures, please contact us at 863-294-3361. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission ("SEC") or by any state securities authority.

Additional information about James P. Wells is available on the SEC's website at [www.advisorinfo.sec.gov](http://www.advisorinfo.sec.gov).

Registration as an investment advisory representative with the SEC or any state securities authority does not imply a certain level of skill or training.

## **Item 2 Educational Background and Business Experience:**

Registered investment advisers are required to disclose in this Item the supervised person's name, year of birth, educational background, and business background. Following is the information in response to this requirement.

James P. Wells

Year of Birth: 1955

### **Educational Background:**

- Harvard Business School, Owners and Presidents Management ("OPM") 2017
- United States Military Academy at West Point, NY - Graduated BS in engineering 1979
- Defense Intelligence Schools - attended/graduated 1982/1983
- Defense Contract Management School - attended/graduated in 1983
- Marymount College of Northern Virginia - attended in 1983 - MBA Program

### **Business Experience:**

- Wells Global LLC, an investment advisory firm -- positions: President, Advisory Representative - 05/2009 to Present
- Wells Investments, Inc., an investment advisory firm - position: Chief Executive Office, 1995 to present; President, 1995 to 3/1/2016; Advisory Representative - 1995 to Present
- Valor Financial Securities LLC, broker/dealer - position: President, Registered Representative and Managing Member - 12/09 to present; Insurance agent
- Winvest Securities, Inc., holding company for real estate - position: President
- Owner, I WILL Enterprises LLC. A business offering promotional and motivational items.
- Owner, Trust Protector LLC. A business offering trust protector services.
- President and Co-Owner, Go Army LLC, holding company for real estate.
- Owner, Patriots Stand Up LLC. A business offering patriotic and motivational items.

## **Item 3 Disciplinary Information:**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that may be material to your evaluation of each supervised person providing investment advice. There is no information applicable to this requirement.

## **Item 4 Other Business Activities:**

As set forth in Item 2 above, Mr. Wells is an investment advisor representative for Wells Investments, Inc., an investment advisory representative with Wells Global Investment Advisers LLC ("Wells Global") and a registered representative and insurance agent of Valor Financial Securities LLC ("Valor"). He may receive commissions, bonuses or other cash or non-cash

compensation from these entities that are under common ownership with Wells Investments. This compensation represents a substantial part of his income. This arrangement potentially gives Mr. Wells an incentive to recommend investment or insurance products based on the compensation received, rather than on the client's needs. This practice presents a conflict of interest because persons providing investment advice on behalf of our firm who are registered representatives have an incentive to effect securities transactions for the purpose of generating commissions and/or 12b-1 fees rather than solely based on your needs. However, you are under no obligation to purchase securities products or insurance products through any person affiliated with our firm. Mr. Wells is also employed by Trust Protector LLC, a company under common ownership. This presents a conflict of interest because Mr. Wells has an incentive to encourage clients to use Trust Protector services for additional compensation for additional services. You are under no obligation to use any services provided by Trust Protector. Mr. Wells is not actively engaged in any noninvestment-related business or occupation that requires a substantial amount of his time.

#### **Item 5 Additional Compensation:**

Mr. Wells receives compensation from noninvestment related activities. The majority of Mr. Wells' time, efforts and compensation are related to investment oriented activities.

#### **Item 6 Supervision:**

Mr. Wells is the CEO of the firm. There is no person at the firm senior to Mr. Wells. His telephone number is 863-294-3361. Ultimately, Mr. Wells shares responsibility for supervision of the individuals providing investment advice to clients with a compliance officer. Given the number of clients and employees at the firm, supervision is performed on an individual basis.

#### **Item 7 Requirements for State-Registered Advisers:**

Mr. Wells has never been subject to an award or has otherwise been found liable in any arbitration claim involving an investment or an investment-related business activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Mr. Wells has never been subject to an award or has otherwise been found liable in any civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Mr. Wells has never been the subject of a bankruptcy petition.